

Code of Conduct Complaints - Status Report

Complaints received and progressed under new arrangements

2012	Cases received	Cases open (cumulative)	Assessed investigation	Assessed no further action	Assessed alternative resolution/complaint withdrawn	Pending assessment	Cases closed	Appeals received
May	3	3	0	3	0	0	0	0
June	5	8	1	4	0	0	0	0
July	5	13	0	5	0	0	0	0
August	3	16	0	3	0	0	0	0
September	0	10	0	0	0	0	6	3 (not upheld)
October	4	13	0	4	0	0	1	1 (not upheld)
November	3	9	0	3	0	0	7	0
December	2	11	0	2	0	0	0	0
2013								
January	5	13	0	4	1*	0	3	4 (not upheld)
February	1	12	0	1	0	0	2	1 (not upheld)
March	1	4	0	1	0	0	9	0
April	2	6	0	1	1**	0	0	1 (not upheld)
May	2	5	0	1	1***	0	3	1 (refused)
June	0	4	0	0	0	0	1	0
July	4	4	0	0	2 (1** & 1****)	2	4	0
August	0	2	0	0	0	0	2	0
September	2	4	0	0	0	2	0	0
Totals	42	n/a	1	32	5	4	38	11

*request by complainant for anonymity refused – complaint withdrawn

** clarification not supplied within time limit

***withdrawn following informal resolution prior to assessment

**** withdrawn by complainant

Investigations open at 1 July 2013 - being dealt with under new arrangements

Case reference	Date of Assessment hearing	Progress	Estimated date of final report
WC 03/12	23/02/12	Determination Sub-Committee 30 July 2013. Allegations 1, 2 & 5 - breach in respect of para 3.1 of the Code of Conduct. Allegations 3, 4, 6, 7 and 8 - no breach	N/A

Complaints referred for investigation since 1 July 2012

Case reference	Date of Assessment	Progress	Estimated date of final report
WC 14/12	05/09/12	IO's findings of no breach accepted - review requested by complainant. Review Sub-Committee 14/05/2013 – appeal not upheld	N/A